

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA

- v. -

WALTER REISSMAN,

Defendant.

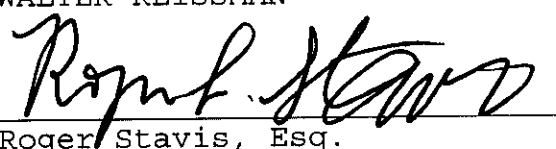
WAIVER OF INDICTMENT


S3 15 Cr. 171 (ALC)

The above-named defendant, who is accused of violating Title 15, United States Code, Sections 78j(b) & 78ff; 17 of the Code of Federal Regulations, Sections 240.10b-5; and Title 18, United States Code, Sections 371, 1001, 1343, 1349, and 2, and being advised of the nature of the charge and of his rights, hereby waives, in open Court, prosecution by indictment and consents that the proceeding may be by information instead of by indictment.

Dated: New York, New York  
January 5, 2015

  
WALTER REISSMAN

  
Roger Stavis, Esq.  
Attorney for Walter Reissman

  
Witness

